

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

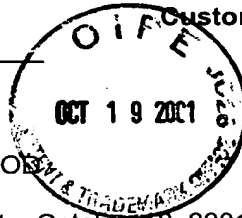
Inventor(s): Hirano et al.

Appln. No.: 09 | 884,876

Series Code ↑ | Serial No. ↑

Filed: June 18, 2001

ATTENTION: Office of Initial Patent Examination  
Customer Service Center



Title: ID INFORMATION MANAGEMENT SYSTEM AND METHOD

Date: October 12, 2001

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NOV 02 2001

Asst. Commissioner of Patents  
Washington, D.C. 202031

Technology Center 2100

Sir: **REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is

☒ incorrectly entered  
Error in

and/or

☐ omitted

Correct data

1. ☒ Applicant's Name

1. Kentato Ejima

2. ☐ Applicant's Address

2.

3. A copy of the Declaration indicating the correct name of the inventor is enclosed.

4. It is understood that the PTO no longer charges for correcting filing receipts even when the error is applicants' mistake, but if that is incorrect and a fee is due for this correction please charge our Deposit Account No. 03-3975 under Order No. 042279/0268955

Pillsbury Winthrop LLP  
Intellectual Property Group

50 Fremont Street  
P. O. Box 7880  
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By Atty: Karry W. Wang

Reg. No. Limited  
Recognition  
Under 37  
CFR  
\$10.9(b)

Atty/Sec: KWW/PG

Sig:

Fax: (415) 983-1200  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the  
United States Postal Service, postage prepaid, in an envelope addressed  
to Commissioner of Patents, Washington D.C. 20231-9999  
on 10/16/01

Date: October 16, 2001

By:

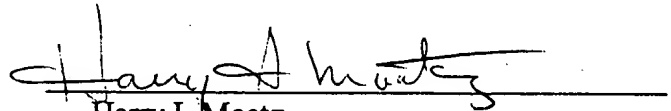
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Karry W. Wang is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Pillsbury Madison & Sutro LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Pillsbury Madison & Sutro LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Pillsbury Madison & Sutro LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Karry W. Wang ceases to lawfully reside in the United States, (ii) Karry W. Wang's employment with the Pillsbury Madison & Sutro LLP law firm ceases or is terminated, or (iii) Karry W. Wang ceases to remain or reside in the United States, authorized to be employed by an Employment Authorization Card issued pursuant to 8 CFR § 274a.12(c)(9).

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

**Expires: November 23, 2001**

A handwritten signature in dark ink, appearing to read "Harry I. Moatz", is written over a horizontal line.

Harry I. Moatz

Director of Enrollment and Discipline



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/884,876	06/18/2001	2163	1013	P 0268955	17	37	14

CONFIRMATION NO. 1890

Pillsbury Winthrop LLP  
Intellectual Property Group  
Calendar/Docket Dept.  
50 Fremont Street  
San Francisco, CA 94105

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OCT 04 2001

PILLSBURY WINTHROP LLP/SF

UPDATED FILING RECEIPT



\*000000006814047\*



Date Mailed: 09/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Yoichiro Hirano, Kanagawa-ken, JAPAN;  
Yoshiyuki Kitahara, Tokyo, JAPAN;  
Yukiyasu Hirose, Kanagawa-ken, JAPAN;  
Kentato ~~Eshima~~, Tokyo, JAPAN;  
Esima

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Technology Center 2100

Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-185143 06/20/2000

If Required, Foreign Filing License Granted 08/14/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

ID information management system and method

**RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

OCT 19 2001

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED ID INFORMATION MANAGEMENT SYSTEM AND METHOD

the specification of which (CHECK applicable BOX(ES))  
☒ A. ☐ is attached hereto.  
☐ B. ☐ was filed on June 18, 2001 as U.S. Application No. 09 / 884876  
☐ C. ☐ was filed as PCT International Application No. PCT/ / on /  
 and (if applicable to U.S. or PCT application) was amended on /

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 385(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

**PRIOR FOREIGN APPLICATION(S)**

Number	Country	Day/MONTH/Year Filed	Date first Laid- open or Published	Date Patented or Granted	Priority NOT Claimed
2000-185143	Japan	20/06/2000			

If more prior foreign applications, X box at bottom and continue on attached page.

Except as noted below, I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and/or 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT International filing date of this application:

**PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)**

Application No. (series code/serial no.)	Day/MONTH/Year Filed	Status pending, abandoned, patented	Priority NOT Claimed

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Winthrop LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 881-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	Kendrew H. Caltan	30388	Roger R. Wise	31204	Anthony L. Miele	34393
G. Lloyd Knight	17698	G. Paul Edgell	24238	Michael R. Dzwonczyk	36787	Robert J. Walters	40862
Kevin E. Joyce	20508	Lynn E. Eccleston	35861	Jack S. Baruska	37087	Brian J. Beatus	38825
George M. Sirila	18221	David A. Jakopin	32995	Adam R. Hess	41835	John Joba	28429
Donald J. Bird	25323	Mark G. Paulson	30793	William P. Atkins	38821	Mark C. Pickering	36239
Dale S. Lazar	28872	Stephen C. Glazier	31381	Paul L. Sharer	36004	David H. Jaffer	32243
Glen J. Perry	28458	Richard H. Zeiden	27248	Robin L. Teskin	35030		

**(1) INVENTOR'S SIGNATURE:**

Yoichiro Hirano Date: Aug. 17, 2001

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**(2) INVENTOR'S SIGNATURE:**

Yoshiyuki Kitahara Date: Aug 17, 2001

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"X" box ☒ FOR ADDITIONAL INVENTORS, and proceed on the attached page to list each additional inventor.  
☐ See additional foreign priorities on attached page (incorporated herein by reference).

Atty. Dkt. No. P0268955

(M#)

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DECLARATION AND POWER OF ATTORNEY  
(continued)

ADDITIONAL INVENTORS:

(3) INVENTOR'S SIGNATURE:

*Yukiyasu Hirose*

Date: *Aug 17, 2001*

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(4) INVENTOR'S SIGNATURE:

*Kentaro Ejima*

Date: *Aug 17, 2001*

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Bib Data Sheet

CONFIRMATION NO. 1890

<b>SERIAL NUMBER</b> 09/884,876	<b>FILING DATE</b> 06/18/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2163	<b>ATTORNEY DOCKET NO.</b> P 0268955
<b>APPLICANTS</b> Yoichiro Hirano, Kanagawa-ken, JAPAN; Yoshiyuki Kitahara, Tokyo, JAPAN; Yukiyasu Hirose, Kanagawa-ken, JAPAN; Kentato Ejima, Tokyo, JAPAN;				
<b>** CONTINUING DATA *****</b>				
<b>** FOREIGN APPLICATIONS *****</b> JAPAN 2000-185143 06/20/2000				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **</b> ** 08/14/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 17	<b>TOTAL CLAIMS</b> 37
				<b>INDEPENDENT CLAIMS</b> 14
<b>ADDRESS</b> Pillsbury Winthrop LLP Intellectual Property Group Calendar/Docket Dept. 50 Fremont Street San Francisco ,CA 94105				
<b>TITLE</b> ID information management system and method				
<b>FILING FEE RECEIVED</b> 1013	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	